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Item Response Theory Analysis of the Scale for the Assessment and Rating of Ataxia in Autosomal Recessive Cerebellar Ataxias

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IRT Analysis of the SARA in ARCAs

Item Response Theory

Item-based analysis

Scale for the Assessment and Rating of Ataxia

Clinical Outcome Assessment (COA)

Autosomal Recessive Cerebellar Ataxias

Rare Neurodegenerative Disease (RND)

Keywords

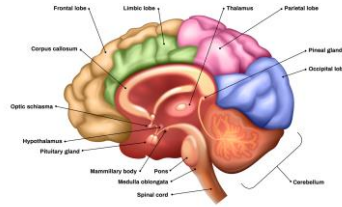


Autosomal Recessive Cerebellar Ataxias (ARCAs)

a heterogenous group of rare and ultra-rare neurodegenerative diseases



Lack of coordination



Affects the cerebellum and associated tracts



Progressive disease - Loss of ambulation



Genetically defined
>200 disease types



Disease-modifying therapies are on the horizon



Scarcity of robust trial designs in RNDs

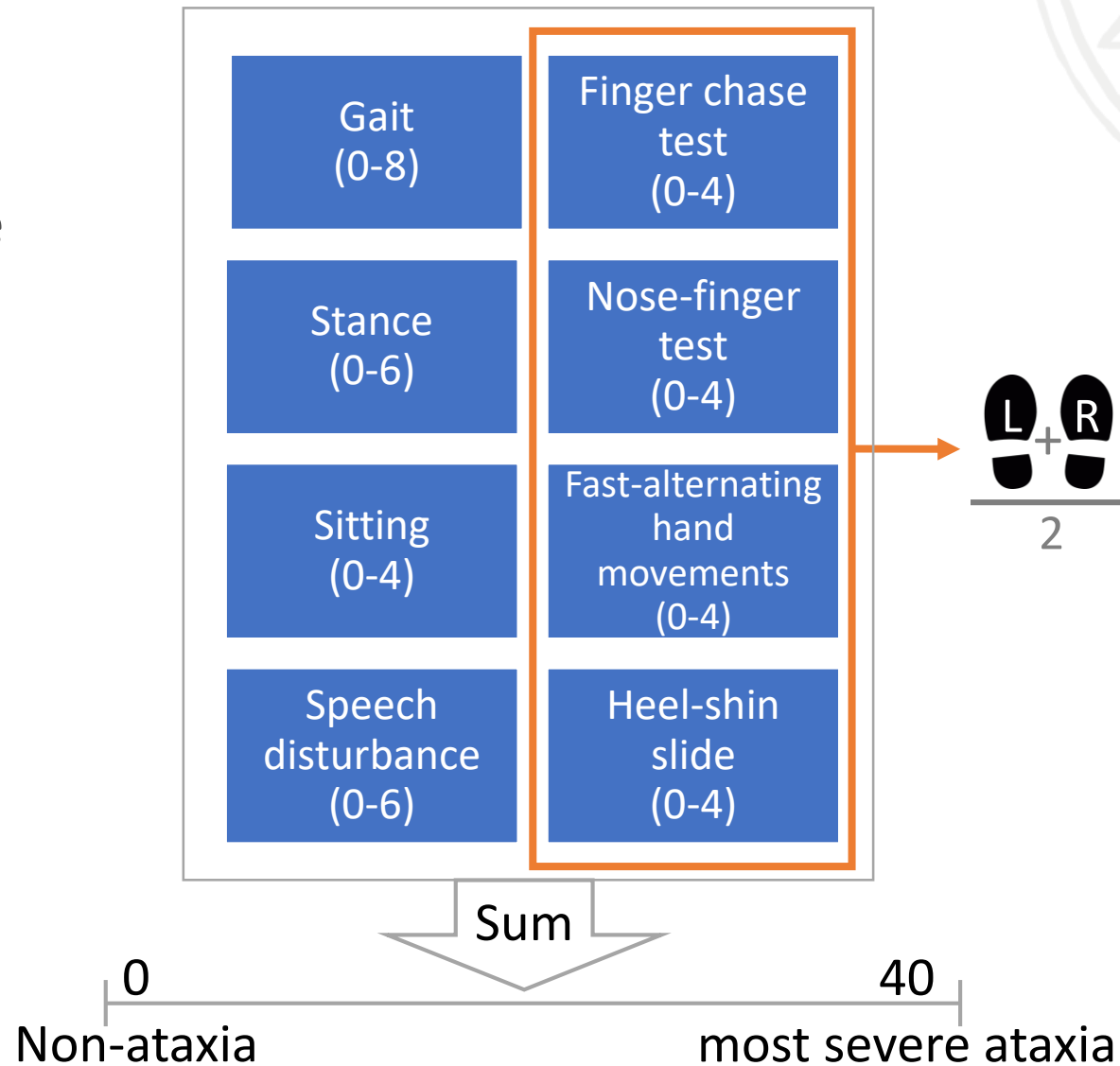
Demonstration of Ataxia patient's gait and stance



How to measure the ataxia severity?

Scale for the Assessment and Rating of Ataxia (SARA)

- The most widely used outcome measure for ataxias
- Developed in 2004
- Clinician reported outcome



SARA as a primary outcome measure in treatment trials?

Problem

- Concerns about SARA metric properties from regulatory agencies and recent studies
- Modifications to optimize the SARA
- Scarce data evidence and validation
- Analysis based on SARA total score

Aim

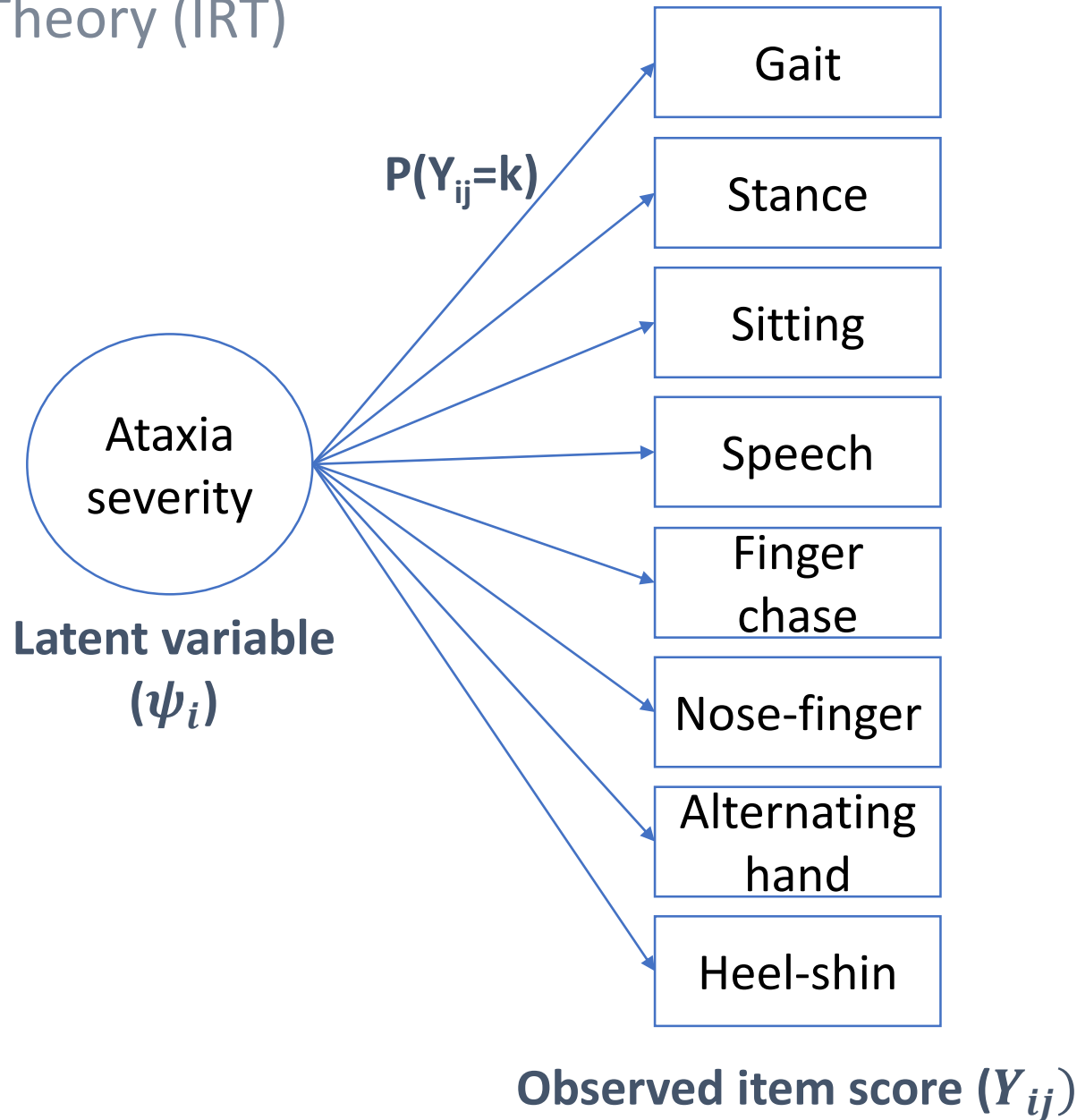
Evaluate the **metric properties and performance** of the SARA using **Item Response Theory (IRT)**



Sub-scores analysis

using Item Response Theory (IRT)

IRT model of SARA



Sub-scores analysis

using Item Response Theory (IRT)

2-parameters logit functions

$$P(Y_{ij} \geq k) = \frac{e^{(a_j(\psi_i - b_{j,k}))}}{1 + e^{(a_j(\psi_i - b_{j,k}))}}$$

$$P(Y_{ij} = k) = P(Y_{ij} \geq k) - P(Y_{ij} \geq k + 1)$$

Y_{ij} : observed item score for individual i and item j

k : item response score

- Scale characteristics



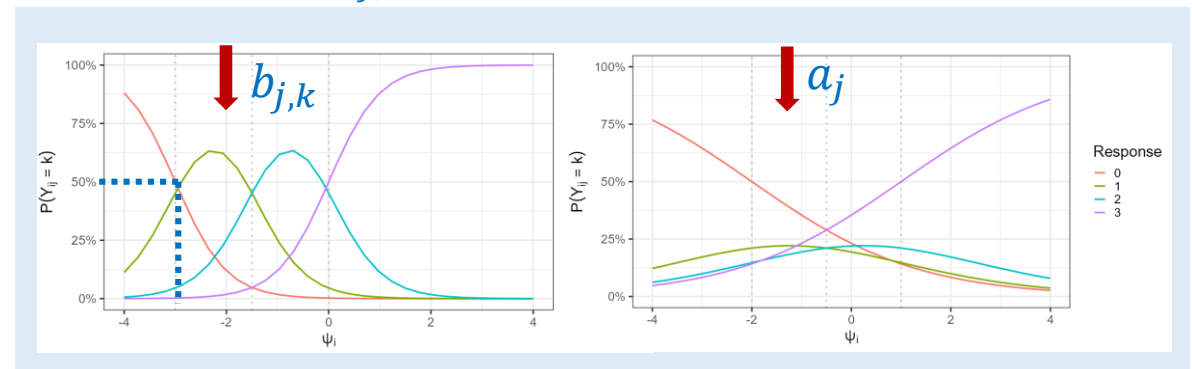
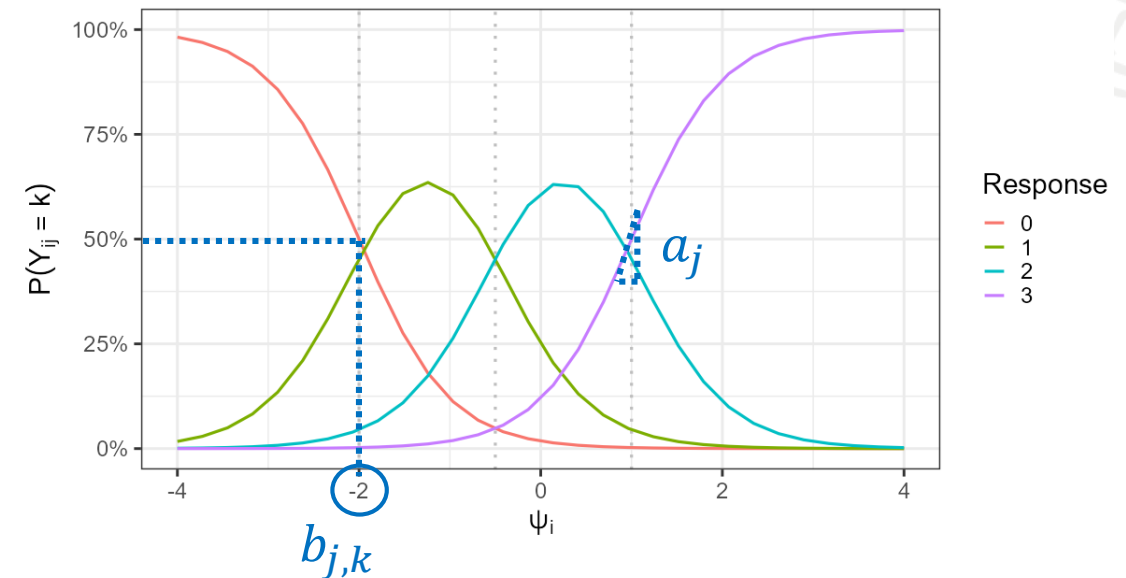
- a_j : Item discrimination

- $b_{j,k}$: Item difficulty

- Subjects characteristics

- ψ_i : Latent variable

Item characteristic curves (ICCs)





Dataset

Autosomal Recessive Cerebellar Ataxias Registry

- 1932 visits
- 990 patients
- 69% of patients have genetically defined diagnosis
- 115 ARCA genetic subpopulations
- SARA sub-scores data



The questions we want to answer in this IRT analysis

Do all SARA items share one common underlying latent variable?

What are the characteristics (and performance) of each SARA item?

Is one IRT model applicable to all ARCA genetic subpopulations?



The questions we want to answer in this IRT analysis, **and how**

Do all SARA items share one common underlying latent variable?
(*i.e.*, unidimensional)

Methods

- Data correlations
- Residuals correlations

What are the characteristics (and performance) of each SARA item?

- Item parameters
- Item characteristics curves
- Fisher information

Is one IRT model applicable to all ARCA genetic subpopulations?

- Model fit for each subpopulation



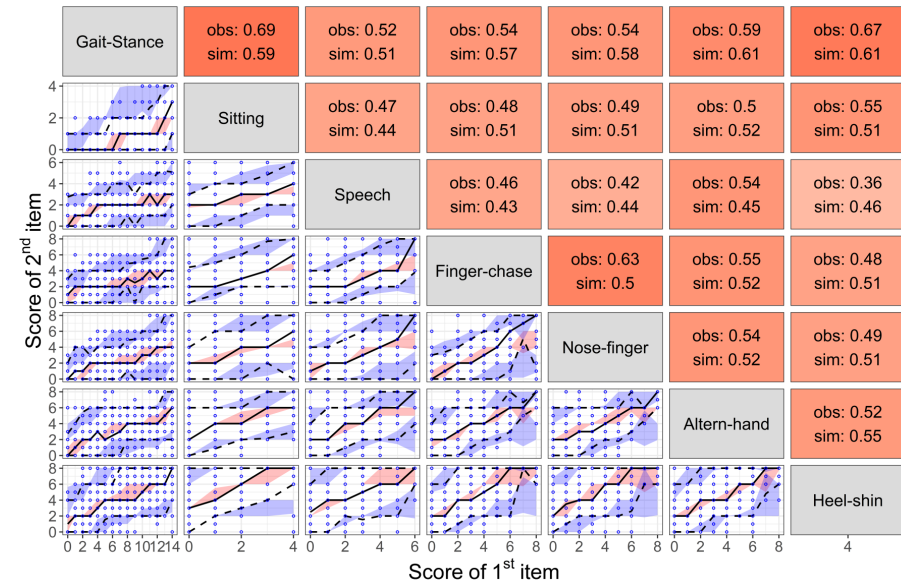
Item-pairs correlations to evaluate SARA dimensionality

Upper matrix

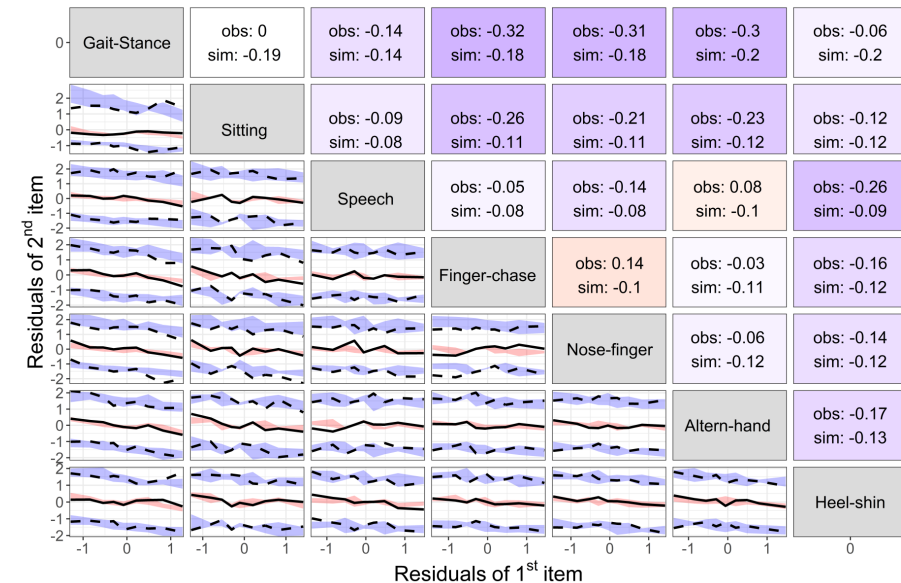
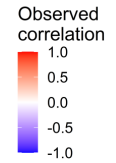
1. Data correlations → before modelling
2. Residual correlations → after modelling
3. Average correlations for 100 simulations

Lower matrix

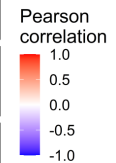
4. VPC-like diagnostic
 - The 5th, 50th, and 95th percentiles (lines)
 - 95% confidence intervals of the corresponding percentiles (shaded areas)



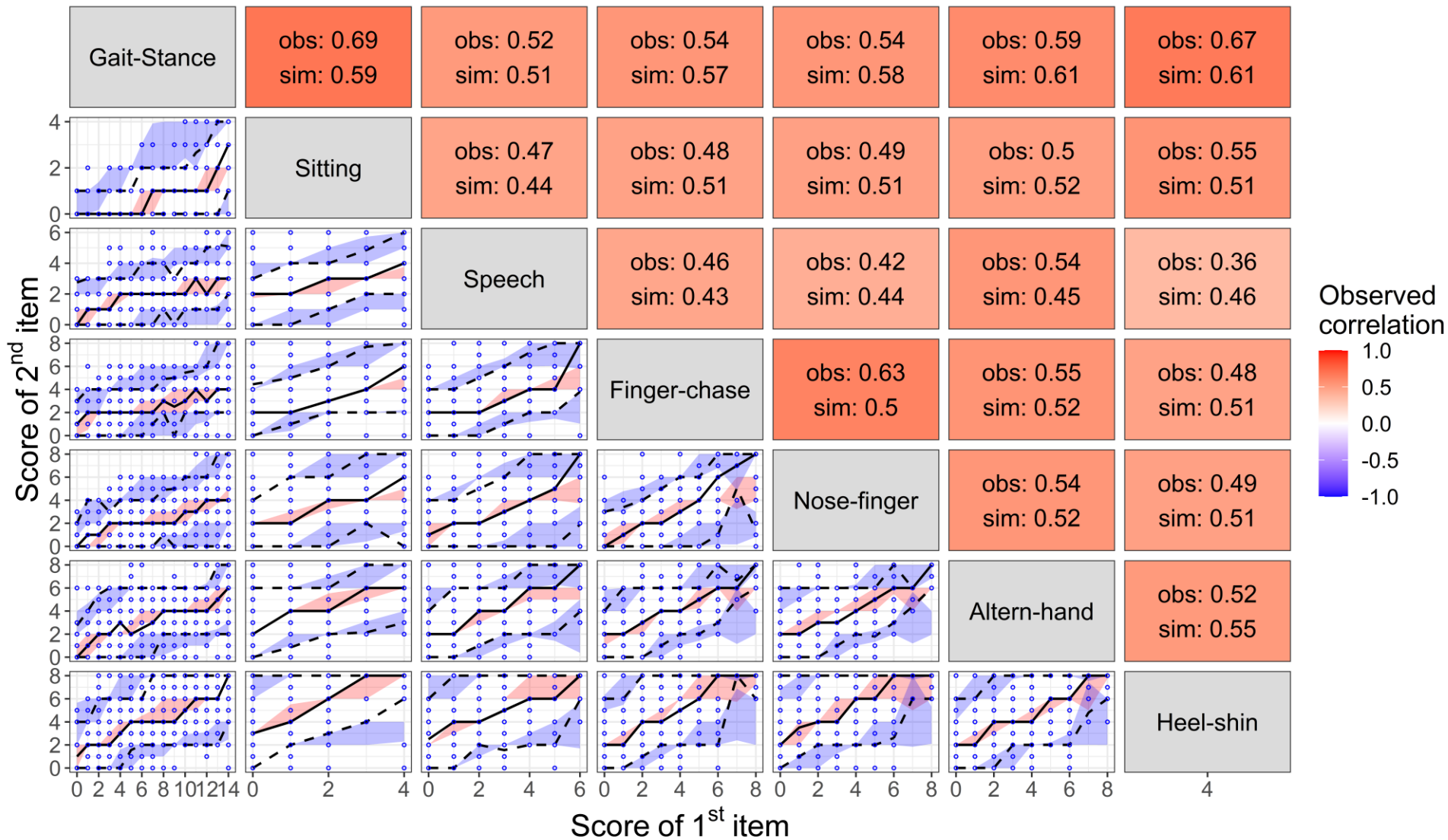
Item scores



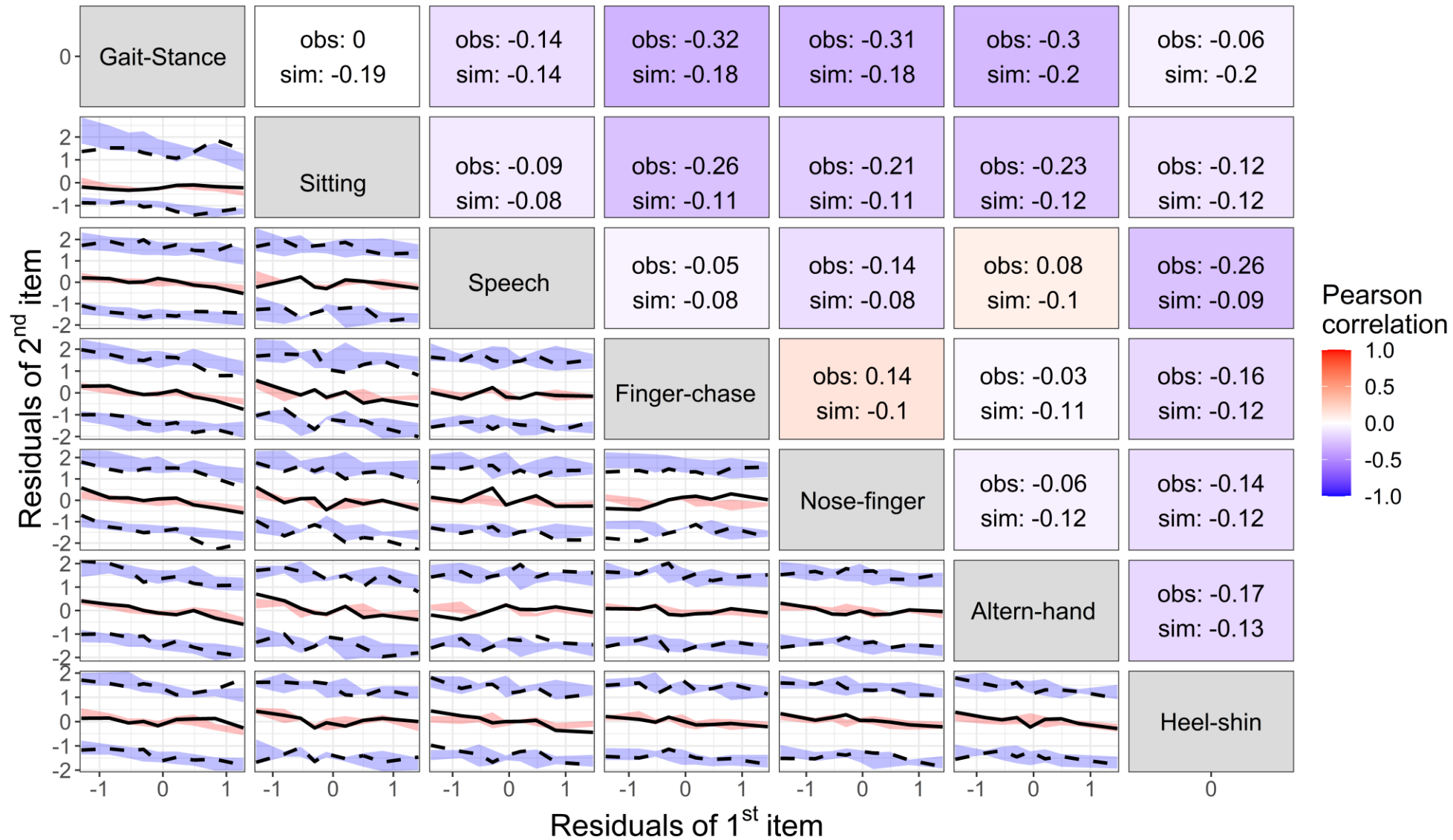
Residuals



1. High (and similar) levels of correlations indicate unidimensionality
2. Data correlation patterns of simulated datasets mimic the original dataset



1. Low negative residual correlations indicate a good fit of the unidimensional model
2. Correlation patterns were mimicked in the simulations



The questions we want to answer in this IRT analysis, **and how**



Do all SARA items share one common underlying latent variable?

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What are the characteristics (and performance) of each SARA item?

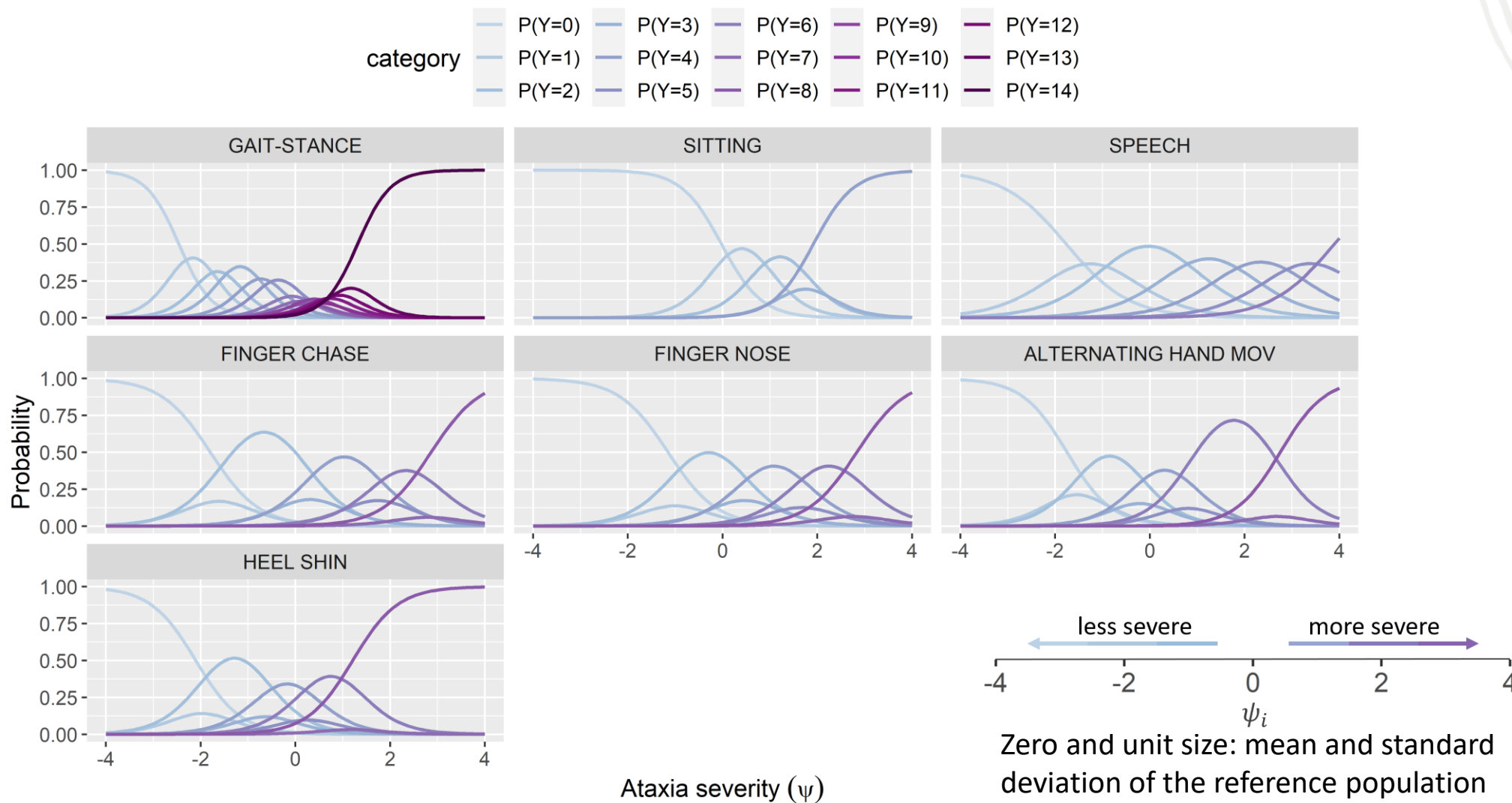
- Item parameters
- Item characteristics curves
- Fisher information

Is one IRT model applicable to all ARCA genetic subpopulations?

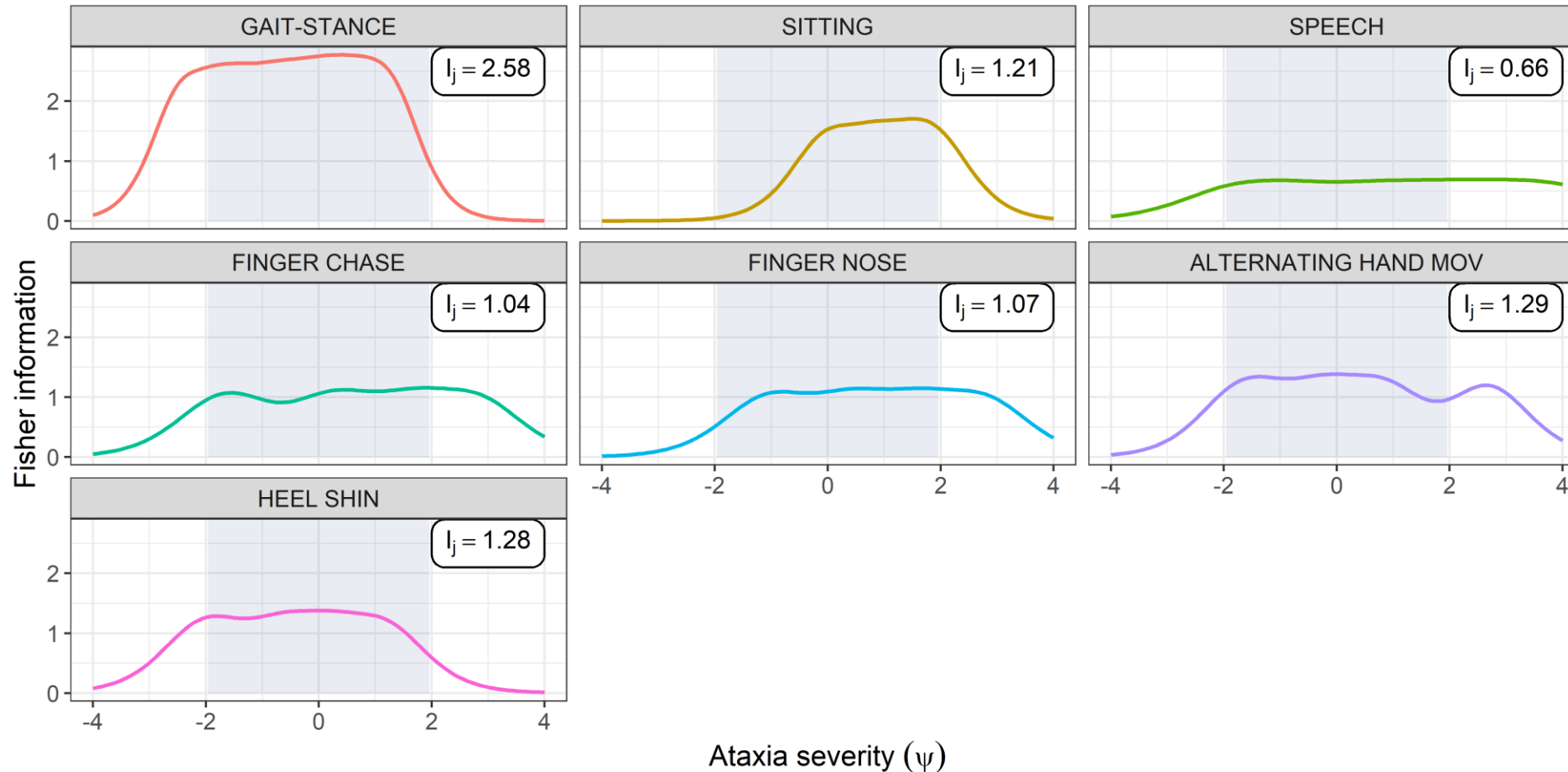
- Model fit for each subpopulation



Good ICCs indicating the high discrimination ability of SARA items and properly designed response categories



All SARA items are informative with varying importance at different disease severity levels



Shaded areas: the ataxia severity interval for 95% of the studied population
 I_j : total item information in the population



The questions we want to answer in this IRT analysis, **and how**



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Is one IRT model applicable to all ARCA genetic subpopulations?

- Model fit for each subpopulation



Analysis of ARCA genetic subpopulations

Permuted group	n= 990
Genetically undetermined	n= 304
ARSACS	n= 173
FA	n= 110
One-subject diagnoses	n= 69
SPG7	n= 40
ATM	n= 27
SYNE1	n= 27
RFC1	n= 25
SETX (AOA2)	n= 25
TTPA (AVED)	n= 21
ADCK3/COQ8A	n= 18
POLG	n= 16
ANO10	n= 12
APTX (AOA1)	n= 8
NPC1	n= 8
PNPLA6	n= 8
HEXA	n= 7
ITPR1	n= 7
CYP27 (CTX)	n= 6
KIF1A	n= 5
PEO1 (Twinkle)	n= 5
PMM2	n= 5
PNKP (AOA4)	n= 5
CACNA1A	n= 4
HARS	n= 3
KCND3	n= 3
KCNJ10	n= 3
POLR3A	n= 3
SIL1	n= 3
STUB1	n= 3
STXBP1	n= 3

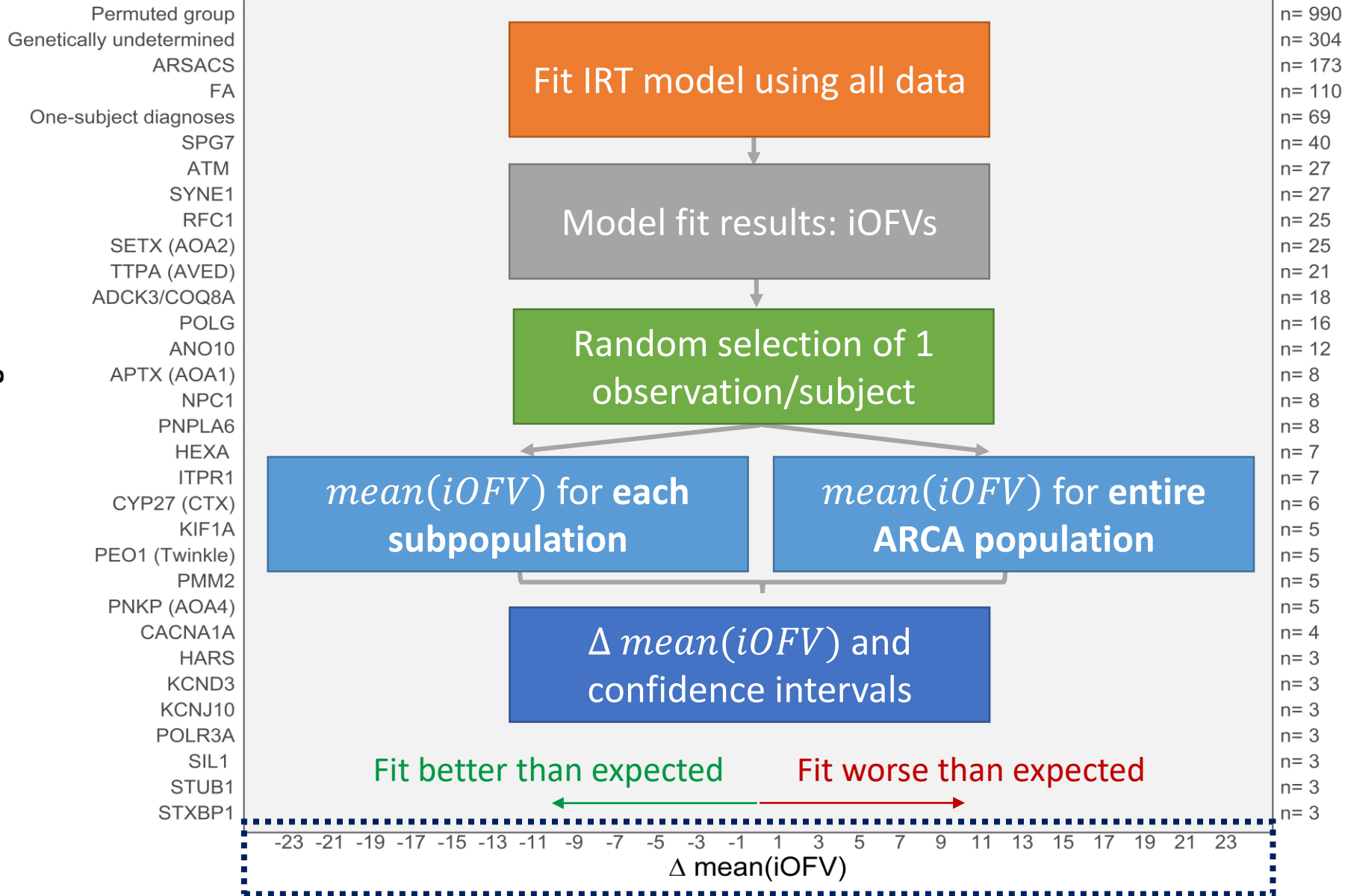
Genetic diagnosis

→ Number of subjects in each subpopulation



Analysis of ARCA genetic subpopulations

Genetic diagnosis

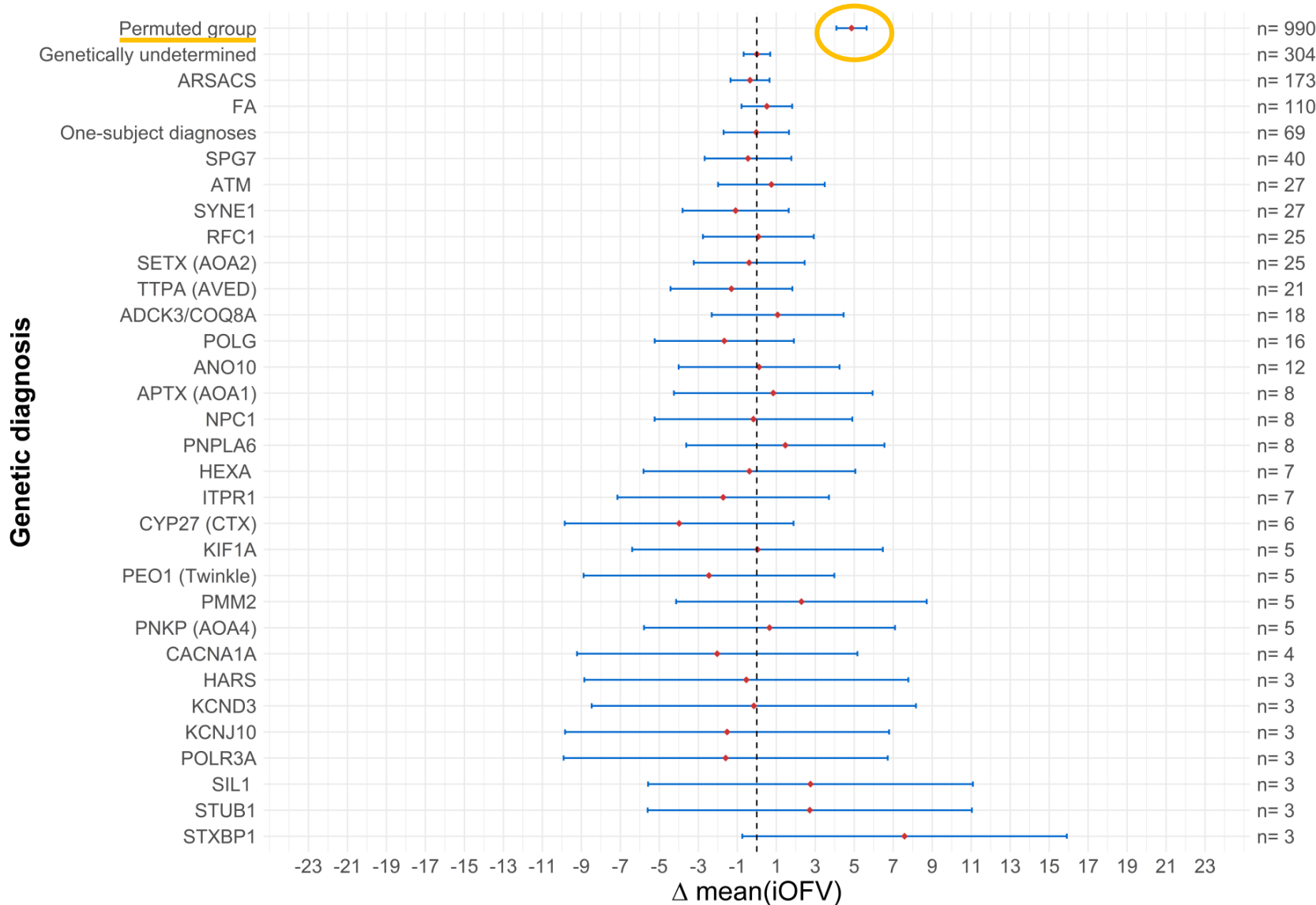


$$\Delta mean(iOFV) = mean(iOFV_{subpop}) - mean(iOFV_{all pop})$$

iOFV: individual objective function value



Absence of evidence for differences between ARCA subpopulations



Permuted group:
a hypothetical subpopulation
 created by permuting the sub-scores of each item across individuals.

- **Red points:** difference in means of iOFVs
- **Error bars:** 95% confidence intervals (based on pooled two-sampled t-test assuming equal variances)
- **n:** number of subjects in each group

← **Fit better than expected** **Fit worse than expected** →

Conclusions

Unidimensional- captures one single latent variable

SARA is well-performing with high discrimination values

All items are informative with varying importance at different disease severity levels

IRT model is applicable across all genetic subpopulations and no item patterns differences



Contributions

- Evidence of the adequacy of SARA using IRT analysis
- IRT framework that describes
 - SARA on the item level
 - Disease severity of ataxia patients (cross-sectional)



Acknowledgments

Evidence-RND consortium

- Matthis Synofzik
- Andreas Träschütz
- Rebecca Schüle
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- France Mentré
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- Nicole Maria Heussen
- Alex Sverdlov
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- Yevgen Ryznik

Poster session IV-15
Thursday 15:25-16:55

Predicting individual disease progression including parameter uncertainty in rare neurodegenerative diseases: the example of Autosomal-Recessive Spastic Ataxia Charlevoix Saguenay (ARSACS)



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Thank you for listening!

Questions?

